

Evaluation of current evidence on multi-nutrient treatment of mental health symptoms

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Mad In America Continuing Education Series
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“ No commercial interest in any company or sale of any product

and a Disclaimer

“ There are many causes of mental disorders

NUTRITION AND MENTAL HEALTH

A multi-part webinar series

- Bonnie J. Kaplan, PhD
 - 2600 years of folklore and modern science: from population health to supplementation
- Julia J. Rucklidge, PhD
 - Evaluation of current evidence on multi-nutrient treatment of mental health symptoms

- These talks are sponsored by the Mad in America Continuing Education Fund, which is a fund of the Foundation for Excellence in Mental Health Care (FEMHC).
- My connection:
 - Nutrition blog on www.madinamerica.com

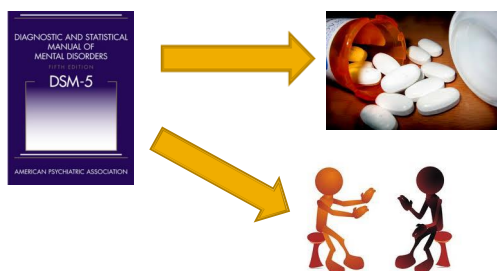
What was covered in Parts 1 & 2

1. Historically, what did humanity know about nutrition?
From 2600 years ago until ~1950
2. Why increasing interest in nutrition now?
3. Population health research: both correlational and prospective studies
4. What we know about dietary patterns and relationship to mental health
5. Why dietary manipulation alone probably won't help everyone (e.g., depletion of nutrients in food, genetic differences, gut health)
6. A discussion of ~100 years of studies using single nutrients and why this approach has been so modest
7. Exploring treatment with nutrients and why it makes sense physiologically to use a broad spectrum of nutrients

Overview of Part 3

- Within framework of nutrients as being essential for optimal brain functioning
 - Review evidence across a broad range of psychiatric conditions using micronutrients
 - Focus only on *broad spectrum* supplementation
 - Select examples: mood, forensics, autism, stress, anxiety, trauma, ADHD

Our current approach to psychiatric problems



Our gold standard is
resulting in only

“...modest benefits...”

- Sarris et al. (2015). *Nutritional medicine as mainstream in Psychiatry. The Lancet Psychiatry.*

What's the evidence for broad spectrum micronutrients?



Progression of Evidence on Micronutrients & Psychiatric Symptoms

- Case studies
- Case series
- Case controls

WHY IS THIS LEVEL OF EVIDENCE IMPORTANT?

- RCTs

Evidence-based medicine

Progression of Evidence on Micronutrients & Psychiatric Symptoms

- Case studies
- Case series
- Case controls
- RCTs
- Roll out into clinical practice

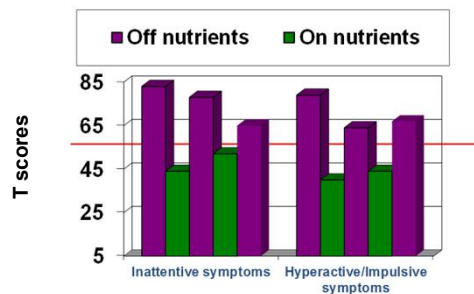
“Brian”: one of MANY (e.g., OCD, Psychosis, Bipolar, ADHD)

Harrison et al., 2013, J Psychosomatic Drugs

- 20 year old male
- ADHD, MDD, Panic Disorder, Substance Abuse (cannabis and nicotine)
- Past hx of tx with methylphenidate, imipramine, fluoxetine, clonidine, amitriptyline, lorazepam and clonazepam
- On (8 weeks)-off (8 weeks)-on (4 months)-“natural” off (5 months) using minerals-vitamins

On-off control of ADHD symptoms

Harrison et al., 2013, *J Psychoactive Drugs*



Progression of Evidence on Micronutrients & Psychiatric Symptoms

- Case studies
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Summary

- **Many** open label trials across anxiety, sleep, Bipolar Disorder and ADHD:

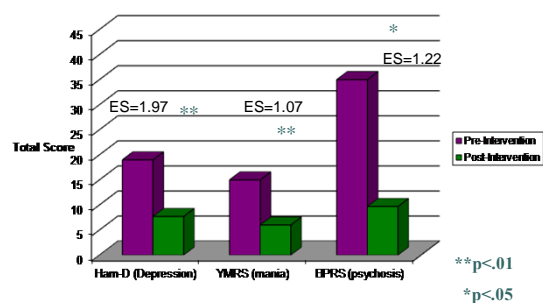
All have shown significant reductions in psychiatric/psychological symptoms

Response rates range from 50-80%

Kaplan et al., 2001, *JCP*; Popper, 2001, *JCP*; Simmons, 2003, *JCP*; Kaplan et al., 2004, *JCAP*; Gately & Kaplan, 2009, *Clin Med*; Rucklidge et al., 2010, *BMC Psychiatry*; Rucklidge et al., 2011; JAD; Frazier et al., 2012, *JACM*; Gordon et al., 2015, *JCAP*; Lothian et al., in press, *Clinical Psychological Science*

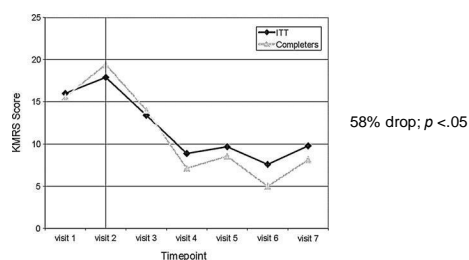
Case series (open label), 11 adults

Kaplan et al., 2001, *J Clin Psychiatry*



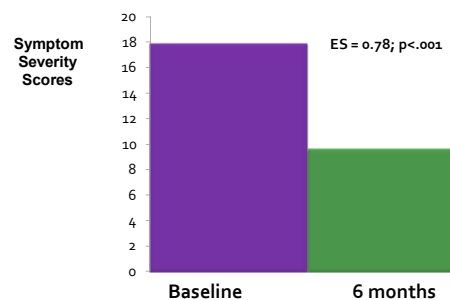
Treatment of pediatric bipolar disorder over 8 weeks; 10 children

Frazier et al., 2012, *JACM*

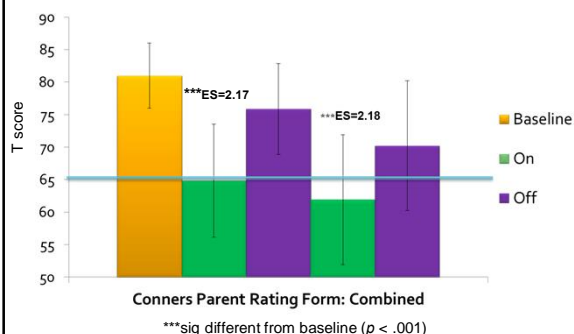


Improvement in Bipolar Disorder in 120 children over a 6-month period

Rucklidge et al., 2010, *BMC Psychiatry*



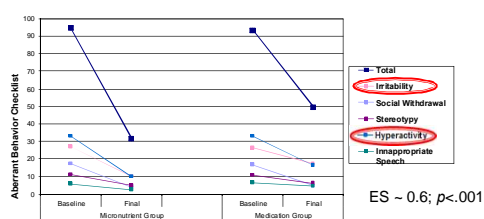
Significant symptom reduction in 14 children with ADHD treated with micronutrients: An open-label reversal design Gordon et al., 2015, *J Child Adolesc Psychopharmacology*



Progression of Evidence on Micronutrients & Psychiatric Symptoms

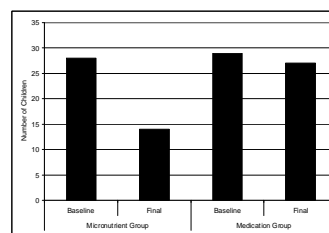
- Case studies
- Case series
- Case controls
- RCTs
- Roll out into clinical practice

Case-control study of 88 children with autism Mehi-Madrona et al., 2010, *J Child Adolesc Psychopharmacology*



No grp differences on the Childhood Autism Rating Scale and the Childhood Psychiatric Rating Scale

Yale-Paris Self-injurious Behaviour



CGI Ratings also sig better in micronutrient group; ES = 0.82, $p < .001$

AE	Micronutr gp (n)	Med gp (n)	Group diff
Increased appetite	1	32	<0.0001
Fatigue	1	29	<0.0001
Drowsiness	1	31	<0.0001
Vomiting	1	9	0.015
Anxiety	6	19	0.004
Diarrhea	4	5	1.000
Constipation	0	6	0.026
Sleep problems	1	4	0.360
Drizzling	0	4	0.116
Headache	2	8	0.089
Stomach ache	9	9	1.000
Dry mouth	0	6	0.026
Increased thirst	0	5	0.055
Dizziness	0	5	0.055
Dyskinesia	0	7	0.012
Nausea	3	5	0.713
Decreased appetite	2	5	0.434
Tremor	2	8	0.089
Tachycardia	0	4	0.116
Muscle rigidity	0	4	0.116
Restlessness	0	3	0.241
Akathisia	0	6	0.026
	33	214	

Progression of Evidence on Micronutrients & Psychiatric Symptoms

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- Randomized controlled trials (RCTs)
- Roll out into clinical practice

EXPERT REVIEWS Broad-spectrum micronutrient formulas for the treatment of psychiatric symptoms: a systematic review
Expert Rev. Neurother. 13(1), 49-73 (2013)
Julia J Rockledge¹ and Bonnie J Kaplan²
Ingesting minerals and vitamins in combination makes physiological sense, and research on the use of broad-spectrum formulas for psychiatric symptoms is increasing rapidly. This review discusses the evidence for the use of broad-spectrum formulas for the treatment of psychiatric symptoms.

Depression
PTSD
Stress
Autism
Offenders

Over 25 positive RCTs to date
All negative trials done on people WITHOUT psychiatric symptoms

SENSE OF DREAD CHOKING RAPID HEART BEAT SHAKY



Summary

- 5 RCTs
 - All five studies show benefit for reducing aggression, violence acts and rule infractions
- Schoenthaler et al., 1997, *JMEN*, 2000, *JCAM*;
Gesch et al., 2002, *BJP*; Zaalberg et al., 2010, *AB*;
Tammam et al., 2015, *BJN*

Schoenthaler's studies

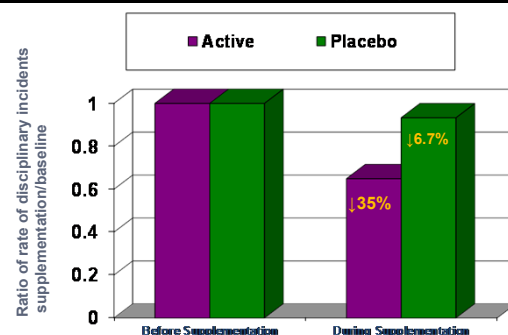
- ❖ Schoenthaler et al (1997): 62 imprisoned juveniles using DBPCT over 3 months, showed:
 - ❖ Active supplement → **28% less violence**
- ❖ Schoenthaler and Bier (2000): 80 children (6-12) who had been disciplined at least once using DBPCT over 4 months, showed:
 - ❖ Active supplement → **47% fewer rule infractions**
threats and fights, vandalism, defiance, disrespect, obscenities

Micronutrient supplementation in 231 young adult prisoners Gesch et al. 2002, *Br J Psychiatry*

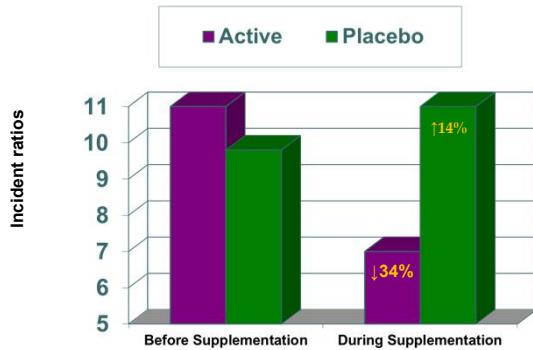
- ❖ RCT in 231 young offenders –average length in RCT: 142 days
- ❖ Supplement with a broad array of minerals, vitamins, and some EFAs (26 ingredients at RDA levels)

Active supplement → **26.3% fewer rule infractions**
35.1% fewer violent acts

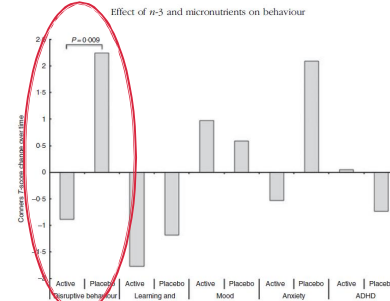
Micronutrient supplementation in 231 young adult prisoners Gesch et al. 2002, *Br J Psychiatry*



Replication in a Dutch sample Zaalberg et al. 2010, *Aggressive Behavior*



Micronutrients may have a protective effect on worsening behaviour? 196 typically developing UK adolescents Tammam et al., 2015, *Br J Nutrition*

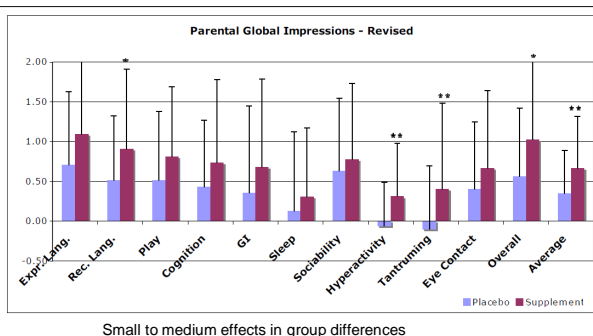


Autism

Summary

- First pilot trial = 20 children – 10 micronutrients and 10 placebo
 - Improved sleep and GI problems
Adams et; 2004, *JCAM*
- Second trial: 141 children and adults with ASD treated with micronutrients (29 ingredients) – 3 month treatment
 - Vitamins/minerals used adjunctively
 - Those taking micronutrients showed improved sleep, reductions of tantrums, hyperactivity, and improved verbal language as well as GI problems compared with placebo
Adams et al., 2011, *BMC Pediatrics*

Change in functioning after 3 months micronutrients versus placebo (131 children/adults with autism) Adams et al., 2011, *BMC Pediatrics*



Small to medium effects in group differences

Stress, Natural disasters and nutrients

Summary

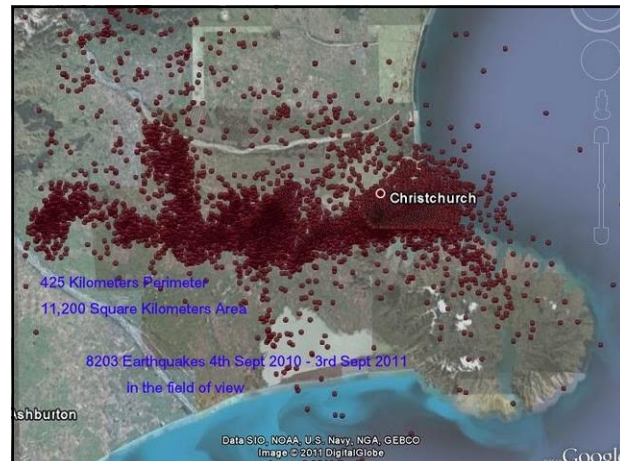
► 8 RCTs have shown that micronutrients:

- decrease stress/anxiety in both stressed and nonstressed populations

• Carroll et al., 2000, *Psychopharmacology*; Gruenwald et al., 2002, *AT*; Schlebusch et al., 2000, *SAMJ*; Kennedy et al., 2010, *Psychopharmacology*; Stough et al., 2011, *Hum Psychopharmacol* ; Long & Benton, 2013, *Hum Psychopharmacol* ; Rucklidge et al., 2012, *Hum Psychopharmacol* ; Kaplan et al., 2015, *Psychiatry Res*

- 1 RCT found no benefit of micronutrients over placebo in a normal population on anxiety/stress measures

• Harris et al., 2011, *Hum Psychopharmacol*



Micronutrients on PTSD symptoms in general population experiencing stress following earthquake Rucklidge et al., 2012, *Hum Psychopharmacol*

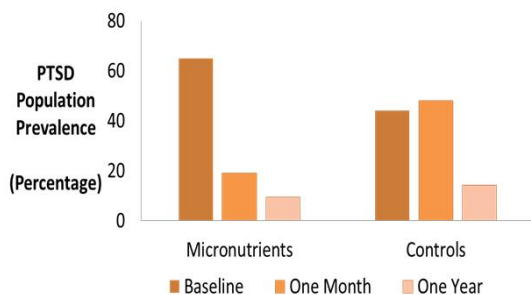
- Recruited on-line
 - 201 completed survey; 127 eligible
- 91 randomized
 - 30 to B complex (29 completed)
 - 31 to low dose broad spectrum micronutrient formula – BSMF (30 completed)
 - 30 to high dose BSMF (27 completed)
- 4 week trial with 1 month natural follow up – data collection May to July 2011
- Monitored weekly with on-line Q assessing stress, mood, anxiety and PTSD symptoms
- 25 of original pool served as controls (7 medicated)

Results

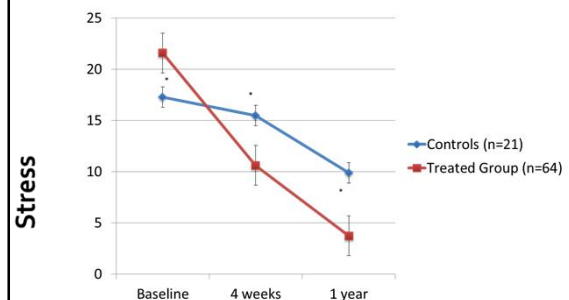
- No grp diff in exercise, hx of mental illness, zoning, counselling, SES, age, sex, leaving town, diet
- All 3 tx groups showed large (B complex) or very large (BSMF both doses) changes from baseline
 - All 3 significantly better than controls
- BSMF (both doses) showed superiority to B complex for intrusions, and higher dose for CGIs of stress, anxiety, energy, mood
 - no tx differences on other measures
- 1 mnth follow up:
 - those who stayed on continued to improve, those who didn't, stayed same
 - preference for higher dose of BSMF: 5x more of these participants stayed on BSMF micronutrients compared with those in the B complex group

Reduction in trauma after earthquakes

Rucklidge et al., 2012 & 2014, *Hum Psychopharmacol*

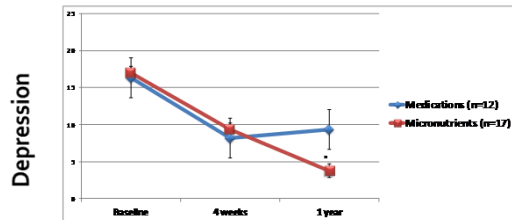


Protective in long term? Change in stress over time between those treated acutely with micronutrients and control group Rucklidge et al., 2012 & 2014, *Hum Psychopharmacol*

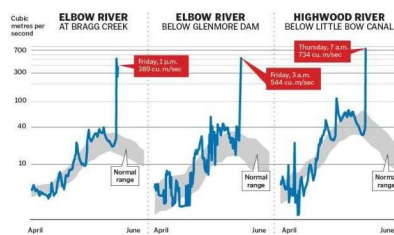


Change in depression over time based on treatment at 52 weeks

Rucklidge et al., 2012 & 2014, *Hum Psychopharmacol*



Southern Alberta flood (2013)



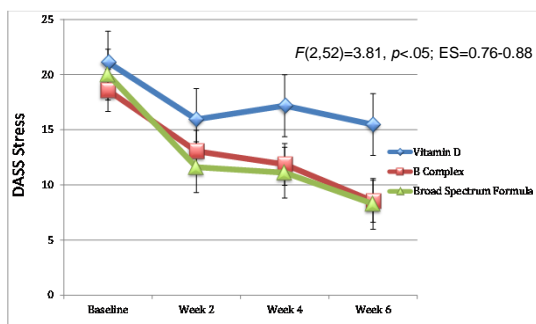
Flood study, Alberta, 2013

Kaplan et al., 2015, *Psychiatry Research*

- Sample: 56 adults aged 23 – 66
- Med-free, evidence of moderate depression and/or anxiety on the Depression, Anxiety and Stress Scale (DASS)
- Placebo: *unethical*
- Randomized to 3 groups – 6 week RCT:
 - Single nutrient (vitamin D 1000 IU): n=17
 - Few nutrients (B complex): n=21
 - Broad spectrum (~30 minerals and vitamins): n=18

Flood study, Alberta, 2013

Kaplan et al., 2015, *Psychiatry Research*



Maybe nutrients feed the brain and replete the system under chronic stress

"The triage theory posits that when the availability of a micronutrient is inadequate, nature ensures that micro-nutrient-dependent functions required for short-term survival are protected *at the expense* of functions whose lack has only longer-term consequences..."

McCann and Ames 2009



ADHD



Summary

Two RCTs

one showed no additional benefit of nutrients above effect of omega 3s but used low dose (below RDA) of micronutrients

one positive with broad spectrum micronutrients

Rucklidge et al., 2014, *BJP*; Sinn & Bryan, 2010, *JDBP*

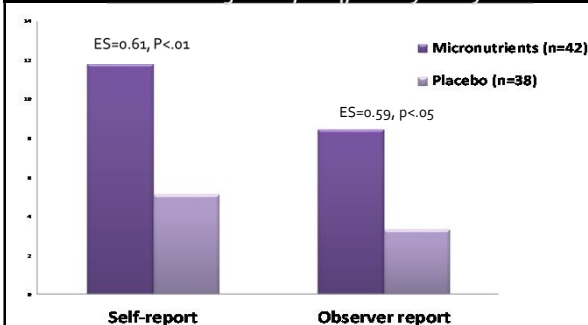
Micronutrients with adults with ADHD: RCT evidence

Rucklidge et al., 2014, *Br J Psychiatry*

- 80 participants with ADHD (med free)
- Mean age: 35 years
- Diagnosis:
 - SCID-I and CAADID and
 - >70 on one of the DSM based scales of CAARS (self/observer)
- 35% ADHD Inattentive type; 57% ADHD combined
- Co-occurring current diagnoses:
 - 23% mood disorder; 35% an anxiety disorder; 14% drug/alcohol abuse/dependency; 19% LD
- Mean GAF at baseline = 59
- 8 weeks RCT: 42 micronutrients, 38 placebo

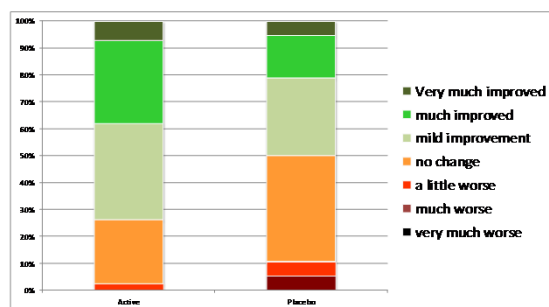
Reduction in ADHD symptoms using micronutrients

Rucklidge et al., 2014, *Br J Psychiatry*



Percent improving micronutrients versus placebo based on clinician rating

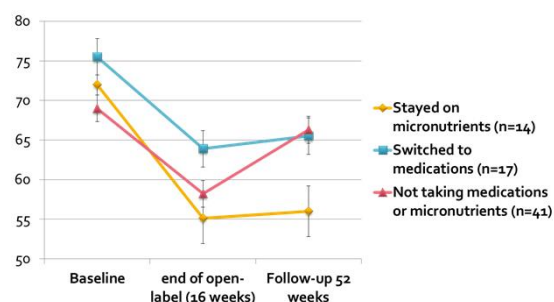
Rucklidge et al., 2014, *Br J Psychiatry*



ES = 0.53, p<.02

Naturalistic follow-up one year post-baseline: ADHD symptoms

Rucklidge et al., 2014, *J Attention Disorders*



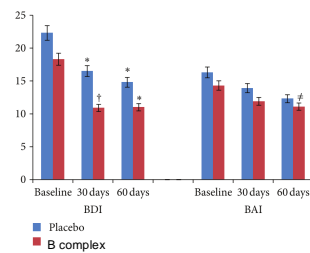
Mood

Summary

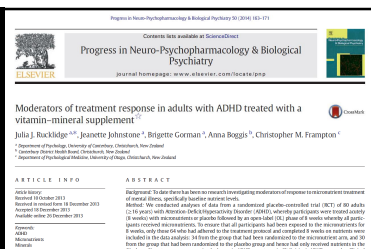
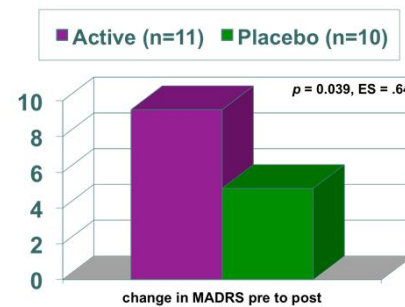
- *Very few good* trials on samples specifically recruited for depression –
 - lots of RCTs with normal populations (6 positive RCTs, 5 negative RCTs)
 - A few others with health conditions (3 positive RCTs, 1 negative RCT)
- Only two RCTs studied people with mood problems – both positive

Methylated Vitamin B complex on depression & anxiety in depressed adults Lewis et al., 2013, *ISRN Psychiatry*

- 60 adults with MDD
- DBRCT: B complex versus placebo
- 30 and 60 days follow up
- Modest improvement
- Sig group differences



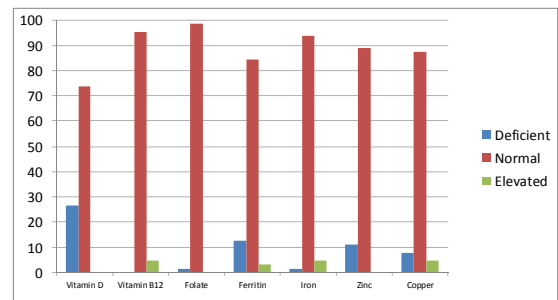
Broad-spectrum micronutrients improved depression ratings among ADHD adults with clinical depression Rucklidge et al., 2014, *BJP*



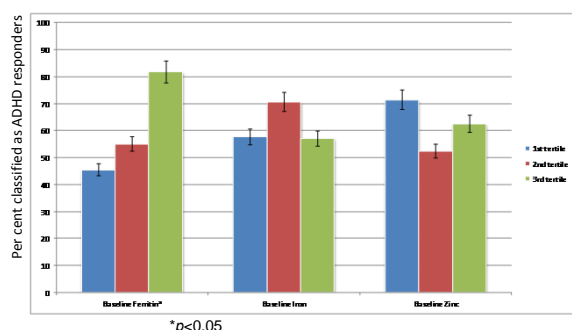
Can we predict who will respond to micronutrients?

Not really, not yet

Percentage falling within or outside normal reference ranges for serum nutrients Rucklidge et al., 2014, *Prog Neuropsychopharmacol Biol Psychiatry*



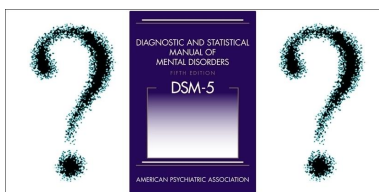
Baseline nutrient levels converted to tertiles and compared with per cent ADHD responder
Rucklidge et al., 2014, *Prog Neuropsychopharmacol Biol Psychiatry*



Do these data challenge our conceptualization of mental illness?

Conventional medicine

- DSM based categories suggest each psychiatric category may have a separate etiology
- But are they that separate?



Could some cases of psychiatric illness reflect inborn errors of metabolism?



- People inherit a *genetic defect* that results in decreased binding ability of an enzyme(s)
- results in slowed metabolic reactions
- Less efficiency in making chemicals for optimal functioning
 - resulting in psychiatric symptoms
- Can be corrected at endpoint by:
 - administration of **high doses of the micronutrient component** of corresponding coenzyme, restoring enzymatic activity

➤ Ames et al., 2002; Kaplan et al., 2007

Does any of this amount to evidence?

- Bradford Hill, 1952: Created basis for modern RCTs
- 1965: Recognized limitations – defined Bradford Hill criteria for establishing causation – 5 are relevant *here*
 - ✓ ➤ Biologic rationale (covered in Bonnie's lecture)
 - ✓ ➤ Strength of association (clinical significance)
 - ✓ ➤ Consistency of the evidence (across sites, studies)
 - ✓ ➤ Temporal sequence (A must precede B)
 - ✓ ➤ Experimental evidence (RCTs and others – such as studies where the effect is manipulated like ABAB)

Side effects?



minor and transitory

Compliance?



No difficulties with the regimen

Impact on blood results?



None to date*

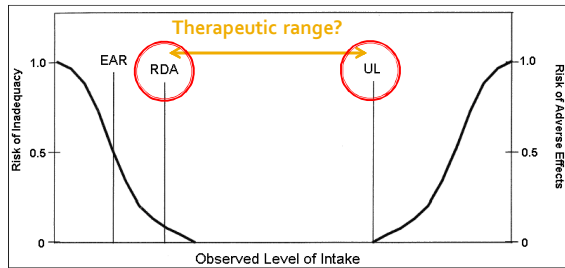
Long-term effects?



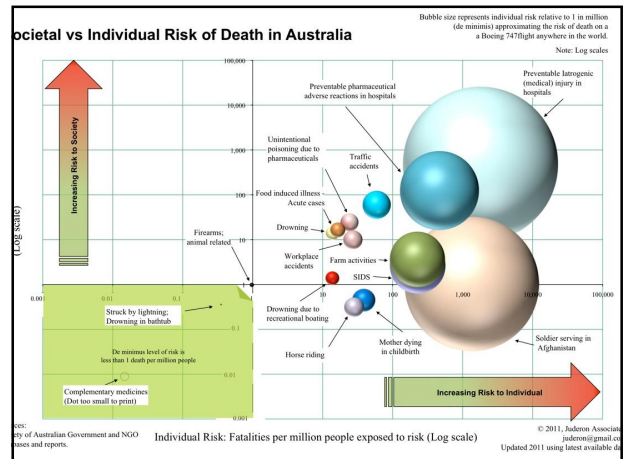
Needs to be studied properly

- †some find taking the pills tedious and stop for that reason
- *lack of difference in fasting glucose, lipids, white blood cell count, and neutrophils, slight elevation on prolactin but still within normal range
 - Review of safety: Simpson et al., 2011, *BMC Psychiatry*
- Help for pill swallowing: www.research4kids.ucalgary.ca/pillswallowing

How much?

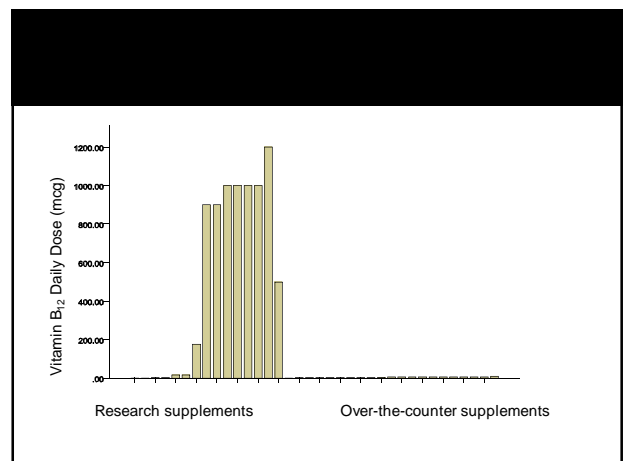
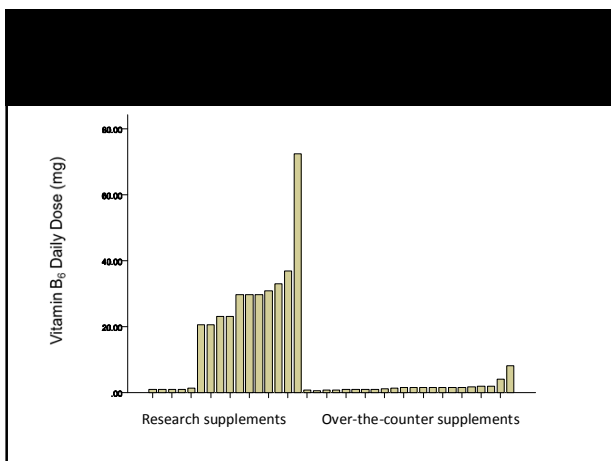
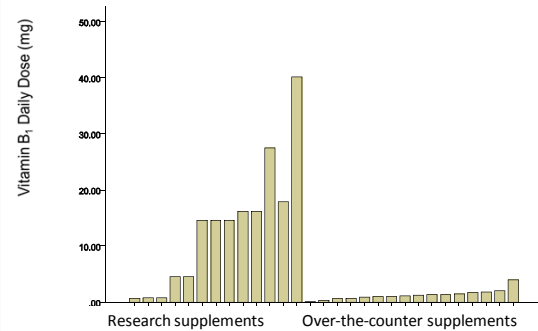


Food and Nutrition Board, Institute of Medicine



Commercial vs research products: Are they the same?

Rucklidge et al., 2014, NZMJ



Which commercial broad spectrum formulas have any evidence of benefit with mental symptoms?

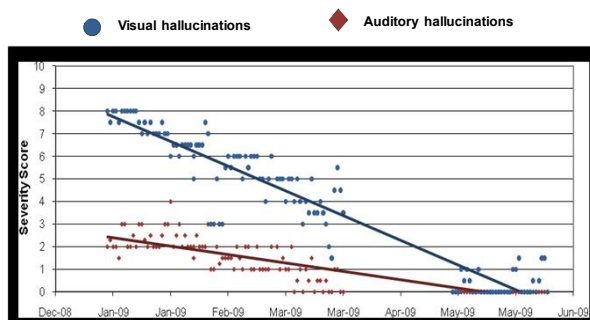


Progression of Evidence on Micronutrients & Psychiatric Symptoms

- Case studies
- Case series
- Case controls
- Randomized controlled trials (RCTs)
- Roll out into clinical practice....

Self-reported account of hallucinations

Rodway et al., 2012, BMJ Case Reports



Cost savings of micronutrients



Cost of conventional inpatient treatment

Micronutrients cost <2% of conventional treatment



Cost of micronutrient outpatient treatment

Concluding messages...

- Physiologically, makes sense to provide body/brain with nutrients to optimize functioning
- If can't be achieved through diet manipulation alone, then additional nutrients may be required
- Most studies on broad spectrum nutrients positive across different countries, different formulas, different conditions
 - But we need replication, more studies investigating optimal doses and understanding mechanisms of action

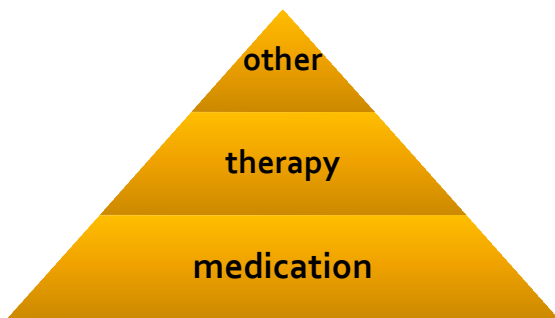


**END OF WEBINAR
RECORDING**

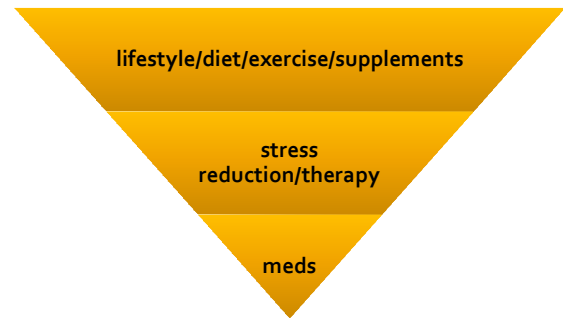
Additional slides for the public talk

Video clip

Conventional medicine



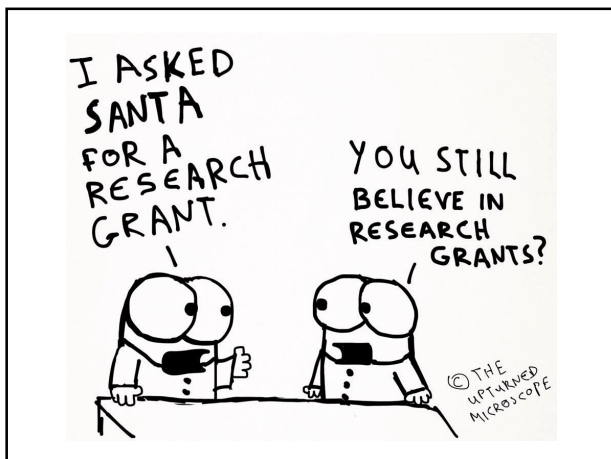
Integrative medicine



On a personal note



"With this much grant money, only experiment we can do is 'flip a coin'."



For further info on the formulas mentioned here today.....

- EMPowerplus/CNE/Q96: www.truehope.com
- Daily Self Defense: <http://optimusnutraceuticals.com/>
- Daily Essential Nutrients: <http://www.hardynutritionals.com/>
- Brain Child Spectrum Support: <http://www.brainchildnutritionals.com/spectrum-support-vitamins.html/>
- Forceval: <http://www.forceval.co.uk/>
- Blackmores Executive B: <http://www.blackmores.com.au/products/executive-b-stress-formula>
- Max Stress B: http://www.healthproductsusa.net/30_max_stress_b_health.htm
- Swisse Ultivite: <http://www.swisse.com/au/vitamins-and-supplements/mens-health/73/swisse-mens-ultivite-f1>
- Bayer's Berocca: <http://www.berocca.com/en/home.php>

Petitions

Acknowledgements

- | | | |
|---|--|---|
| <ul style="list-style-type: none"> ■ <i>Current Graduate students working on nutrient studies</i> <ul style="list-style-type: none"> • Pip Newton • Kathryn Darling • Hahna Retallick-Brown • Lucy Kiao • Kate Harris • Peati Mene-Vaele ■ <i>Clinical Psychologists</i> <ul style="list-style-type: none"> • Dr Heather Gordon • Ellen Sole • Joanna Lothian • Dr Mairin Taylor • Rachel Harrison • Sarah Anticich • Kathryn Whitehead • Dr Nicola Ward • Dr Brigitte Gorman • Dr Petra Hoggarth | <ul style="list-style-type: none"> ■ <i>Academics/collaborators</i> <ul style="list-style-type: none"> • Prof Bonnie Kaplan • Prof Ian Shaw • Prof Neville Blampied • Prof Chris Frampton • Prof Martin Kennedy • Prof Dermot Gately • Prof Rob Hughes • Dr Jeni Johnstone • Dr Amy Romijn • Prof Roger Mulder ■ <i>Psychiatrists/medical practitioners</i> <ul style="list-style-type: none"> • Dr Anna Boggis • Dr Matt Eggleston • Dr David Ritchie • Dr Katharine Shaw | <ul style="list-style-type: none"> ■ <i>Funding</i> <ul style="list-style-type: none"> • University of Canterbury for ongoing financial assistance • Vic Davis Memorial Trust • Private donations from anonymous donors • Early career awards • Summer studentships • Truehope/Nutrakem for providing formula/placebo for trials • Gravida ■ <i>Thanks to:</i> <ul style="list-style-type: none"> • participants and families for carefully monitoring symptoms over time |
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