

Tree Nut Industry Specific FSMA Rules Summary of Compliance Dates

General Compliance Dates

Rule	Compliance Date Large Business	Compliance Date Small Business	Compliance Date Very Small Business
Preventative Controls for Human Food	September 19, 2016	September 18, 2017	September 17, 2018
Preventative Controls for Animal Food	September 19, 2016 for CGMP's September 18, 2017 for PC's	September 18, 2017 for CGMP's September 17, 2018 for PC's	September 17, 2018 for CGMP's September 17, 2019 for PC's
Produce Safety	January 26, 2018, January 26, 2022 for water provisions	January 28, 2019 January 26, 2023 for water provisions	January 27, 2020 January 26, 2024 for water provisions
Sanitary Transportation of Human and Animal Food	April 6, 2017	April 6, 2018	N/A
Food Defense	July 26, 2019	July 26, 2020	July 26, 2021

Compliance Date Extensions

Rule	Compliance Date Large Business	Compliance Date Small Business	Compliance Date Very Small Business
Preventative Controls for Human Food ^{1, 2}	January 26, 2018	January 28, 2019	January 27, 2020
Preventative Controls for Animal Food ^{1, 2}	January 26, 2018 for CGMP's January 28, 2019 for PC's	January 28, 2019 for CGMP's January 27, 2020 for PC's	January 27, 2020 for CGMP's January 26, 2021 for PC's

Compliance Date Extensions for Written Assurances in the Customer Provisions in Part 117 and Related Rules

Rule	Compliance Date Large Business	Compliance Date Small Business	Compliance Date Very Small Business
Preventative Controls for Human Food	September 19, 2018	September 18, 2019	September 17, 2020
Preventative Controls for Animal Food	September 18, 2019	September 17, 2020	September 17, 2021
Produce Safety	January 27, 2020	January 26, 2021	January 26, 2022

¹ Extension of compliance dates for both part 117 and part 507 for facilities solely engaged in packing and/or holding produce RAC's and/or nut hulls and shells (Facilities solely engaged in packing and/or holding nut hulls and shells remain exempt from the CGMP requirements in part 507)

² Extension of compliance dates for certain facilities that would qualify as secondary activities farms except for ownership of the facility

Tree Nut Industry Supplementary FSMA Rules Summary of Compliance Dates

General Compliance Dates

Rule	Compliance Date Large Business	Compliance Date Small Business	Compliance Date Very Small Business
Foreign Supplier Verification Program	May 27, 2017	N/A	N/A
3 rd Party Accreditation and Certification	Implementation will go into effect after issuance of Model Accreditation Standards	Implementation will go into effect after issuance of Model Accreditation Standards	Implementation will go into effect after issuance of Model Accreditation Standards

Compliance Date Extensions for Written Assurances in the Customer Provisions in Part 117 and Related Rules

Rule	Compliance Date Large Business	Compliance Date Small Business	Compliance Date Very Small Business
Foreign Supplier Verification Program ⁴	May 28, 2019	N/A	N/A

For Farms:

- *Very small businesses*: those with more than \$25,000 but no more than \$250,000 in average annual produce sales during the previous three year period:
- *Small businesses*: those with more than \$250,000 but no more than \$500,000 in average annual produce sales during the previous three year period:
- *Large businesses*: all other farms

For Facilities (that do not meet the farm definition):

- *Very small businesses*: businesses averaging less than \$1 million per year (adjusted for inflation) in annual sales of human food plus the market value of human food manufactured, processed, packed, or held without sale
- *Small businesses*: businesses with fewer than 500 full-time equivalent employees
- *Large Business*: all other businesses

For Facilities that produce Animal Food (that do not meet the farm definition):

- *Very small businesses*: business averaging less than \$2,500,000, adjusted for inflation, per year, during the 3-year period preceding the applicable calendar year in sales of animal food plus the market value of animal food manufactured, processed, packed, or held without sale (e.g., held for a fee or supplied to a farm without sale).
- *Small businesses*: businesses with fewer than 500 full-time equivalent employees
- *Large Business*: all other businesses

⁴ Extension of compliance dates for importation of food contact substances under the FSVP regulation