# **SEBI Grade A 2020 Study Plan**

### Phase 1 – Paper 1 Preparation Strategy

The best way now to prepare for above-mentioned sections would be through the SEBI Grade A Mock Tests.

Most of you, I am sure, might be well aware of the basics of these topics, therefore just jump on to taking the paper 1 mock tests.

Understand and analyse your strengths and weaknesses through these mock tests and work on them.

#### **Paper 1 Mock Test Schedule**

Month	No. of Mock Tests to be
	taken
September 2020	4 Mock Tests
October 2020	4 Mock Tests
November 2020	2 Mock Tests

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#### Phase 1 – Paper 2 Study Plan

Let us make a properly structured timetable for the subjects/sections of Phase 2 for better strategizing preparation for Phase 1 - Paper 2.

As the **last date to register for the SEBI Grade A 2020 Exam is 31st October 2020** as per the latest notice issued by SEBI, here it is an **11-week study plan** starting from the last week of August till the end of 2nd week of November 2020.

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Week	Paper-2 Subject	Paper 2 Topic to be Covered
Week 1	Management	<ul> <li>a) Management: Its nature and scope. The Management Processes: Planning, Organization, Staffing, Directing and Controlling.</li> <li>b) The Role of a Manager in an Organization. Leadership: The Tasks of a Leader.</li> <li>c) Leadership Styles, Leadership Theories, A successful Leader versus an effective Leader.</li> </ul>
Week 2	Management	<ul> <li>a) Human Resource Development: Concept of HRD, Goals of HRD.</li> <li>b) Motivation, Morale, and Incentives: Theories of Motivation, How Managers Motivate, Concept of Morale, Factors determining morale, Role of Incentives in Building up Morale.</li> <li>c) Communication: Steps in the Communication Process, Communication Channels, Oral versus Written Communication, Verbal versus non-verbal Communication, upward, downward, and lateral communication, Barriers to Communication, Role of Information Technology.</li> </ul>
Week 3	Finance	<ul> <li>a) Financial Markets: Primary and Secondary Markets (Forex, Money, Bond, Equity, etc.), functions, instruments, recent developments.</li> <li>b) General Topics: Basics of Derivatives: Forward, Futures and Swap.</li> </ul>
Week 4	Finance	<ul> <li>a) Recent Developments in the Financial Sector,</li> <li>b) Financial Inclusion- Use of technology,</li> <li>c) Direct and Indirect Taxes</li> <li>d) Non-tax sources of Revenue, GST, Finance Commission, Fiscal Policy, Fiscal Responsibility and Budget Management Act (FRBM),</li> </ul>

		<b>e)</b> Inflation: Definition, trends, estimates, consequences, and remedies (control): WPI, CPI – components and trends.
Week 5	Finance	<ul><li>a) Financial System: Role and Functions of Regulatory bodies in the Financial Sector.</li><li>b) Alternate source of finance, private and social cost-</li></ul>
		benefit, Public-Private Partnership.
Week 6	Commerce & Accountancy	<ul> <li>a) Cash Flow Statement, Fund flow statement, Financial Statement analysis. Ratio analysis.</li> <li>b) Accounting for Share Capital Transactions including</li> </ul>
		Bonus Shares, Right Shares.
		c) Employees Stock Option and Buy-Back of Securities.
Week 7	Commerce & Accountancy and Costing	<ul> <li>a) Accounting as a financial information system.</li> <li>b) Accounting Standards with specific reference to Accounting for Depreciation, Inventories, Revenue Recognition, Fixed Assets, Foreign Exchange Transactions, Investments.</li> <li>c) Preparation and Presentation of Company Final Accounts.</li> <li>d) Overview of Cost and Management Accounting – Introduction to Cost and Management Accounting, Objectives and Scope of Cost and Management Accounting.</li> </ul>
		<b>e)</b> Methods of Costing – Single Output/ Unit Costing, Job Costing, Batch Costing, Contract Costing, Process/ Operation Costing, Costing of Service Sectors.
Week 8	Costing and Companies Act	<ul> <li>a) Basics of Cost Control and Analysis –</li> <li>(i) Standard Costing, (ii) Marginal Costing, (iii) Budget and Budgetary Control.</li> </ul>
		<ul> <li>b) Lean System and Innovation: –</li> <li>i. Introduction to Lean System.</li> <li>ii. Just-in-Time (JIT)</li> <li>iii. Kaizen Costing</li> <li>iv. 5 Ss</li> <li>v. Total Productive Maintenance (TPM)</li> </ul>

		<ul> <li>vi. Cellular Manufacturing/ One-Piece Flow Production Systems</li> <li>vii. Six Sigma (SS)</li> <li>viii. Introduction to Process Innovation and Business Process Re-engineering (BPR).</li> <li>c) The Companies Act, 2013 – Specific reference to Chapter III, Chapter IV.</li> </ul>
Week 9	Companies Act	a) The Companies Act, 2013 – Specific reference to Chapter VIII, Chapter X, Chapter XI, Chapter XII and Chapter XXVII.
Week 10	Economics Part-1	<ul> <li>a) Demand and Supply, Market Structures,</li> <li>b) National Income: Concepts and Measurement,</li> <li>c) Classical &amp; Keynesian Approach Determination of output and employment,</li> <li>d) Consumption Function, Investment Function,</li> <li>e) Multiplier and Accelerator,</li> <li>f) Demand and Supply for Money, IS-LM,</li> <li>g) Inflation and the Phillips Curve,</li> <li>h) Business Cycles</li> </ul>
Week 11	Economics Part-2	<ul> <li>a) Balance of Payments,</li> <li>b) Foreign Exchange Markets,</li> <li>c) Inflation,</li> <li>d) Monetary and Fiscal Policy,</li> <li>e) Non-banking Financial Institutions.</li> </ul>

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