ECHA
EUROPEAN CHEMICALS AGENCY

Validation rules for SCIP notifications

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ABC

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Validation rules for SCIP notifications

Version	Changes
1.0	February 2020 First version
2.0	May 2020 Changes explained in chapter 3. Version history
3.0	October 2020 Changes explained in chapter 3. Version history
4.0	April 2021 Changes explained in chapter 3. Version history
5.0	October 2021 Changes explained in chapter 3. Version history

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1. Introduction

This document provides short descriptions of the validation rules in IUCLID and in ECHA Submission Portal which are relevant for SCIP notifications.

2. Validation rules

A business rule (**BR**) failure leads to the failure of the submission. A quality rule (**QLT**) warns the notifier of common shortcomings and inconsistencies. Quality rules will not lead to the failure of the submission.

2.1 List of validation rules in IUCLID

Rules regarding whole SCIP notification	
BR702	Same 'Article' record cannot be provided more than once in a line. Circular references are not allowed.
BR720	Same 'Article' record cannot be reported more than once as 'Complex object component' from the same 'Article' record.
BR724	"*Referenced Article*" record should be the last 'Article' record reported in a line. Therefore all the 'Article' records after "*Referenced Article*" record must be removed**.**

Rules regarding 'Article' record	
BR701	The maximum accepted **size of attachments** (pictures, dissembling instructions) is **10 MB** per 'Article'.
BR723	"*Referenced Article*" record cannot have more information than 'Article name', 'Primary article identifier type' and 'Primary article identifier value'. These are the fields that define a "*Referenced Article*" in a dossier.

Identifiers	
QLT704	Other names: If you wish to provide information regarding 'Other names', then make sure that you have provided both the 'Type' and 'Name'.
BR705	If **'SCIP number'** is provided as 'Primary article identifier type' or as 'Type' in 'Other article identifiers' block, then the provided value must be in UUID format. Note: The selection of 'SCIP number' as 'Primary article identifier type' should be only used when a '*Referenced Article'* record is created.

BR726	Main 'Article' record (i.e. the first 'Article' record reported) cannot have **'SCIP number'** reported as 'Primary article identifier'. Note: The selection of 'SCIP number' as 'Primary article identifier type' should be only used when a '*Referenced Article'* record is created.
QLT703	Other Article Identifiers: If you wish to provide information regarding 'Other article identifiers', then make sure that you have provided both the 'Type' and 'Value'.

Categorisation
BR706	'**Article category'** must be indicated.
BR707	'**Production in European Union'** must be indicated.

Characteristics
QLT707	**Height:** If you wish to provide information regarding 'Height', then make sure that you have provided both the value and unit.
QLT708	**Length**: If you wish to provide information regarding 'Length', then make sure that you have provided both the value and unit.
QLT709	**Width:** If you wish to provide information regarding 'Width', then make sure that you have provided both the value and unit.
QLT710	**Diameter**: If you wish to provide information regarding 'Diameter', then make sure that you have provided both the value and unit.
QLT711	**Density**: If you wish to provide information regarding 'Density', then make sure that you have provided both the value and unit.
QLT712	**Weight:** If you wish to provide information regarding 'Weight', then make sure that you have provided both the value and unit.
QLT713	**Volume:** If you wish to provide information regarding 'Volume', then make sure that you have provided both the value and unit.

Safe use instruction(s)
BR708	Either '**Safe use instructions'** must be reported or '**The identification of the Candidate List substance is sufficient to allow safe use of the article throughout the whole life cycle including service life, disassembly and waste/recycling stage'** must be ticked. Both cannot be selected in same 'Article' record.
BR709	If you wish to provide information regarding **Disassembling instructions** then the provided attachment must be in **PDF format**.

QLT706	**Disassembling instructions**: If 'Language' was provided then the adjacent 'Attached document' must be provided.

Complex object component(s) or Concern elements	
BR710	Each 'Article' must be reporting information regarding either 'Complex object component(s)' or 'Concern elements'.
BR711	'Article' cannot be reporting information regarding both 'Complex object component(s)' and 'Concern elements'.

Only for 'Concern elements'	
BR703	All the 'Concern element' blocks provided should be complete: **'Reference substance'** must be indicated.
BR715	For all the 'Reference substances' at least one relevant identifier must be provided. • Inventory number • CAS number • IUPAC name • ECHA Substance ID (reported in 'Synonyms' table as 'other: ECHA Substance ID')
QLT701	All the 'Reference substances' included must be mentioned in 'Candidate list'.
QLT702	The provided 'Reference substance' has conflicting identifiers. Note: If the dossier contains conflicting identifiers for a substance then ECHA database will use the first identifier that match an entry in the Candidate List.
BR717	Same 'Reference substance' cannot be provided in same 'Article' both as 'Candidate list substance' and 'Candidate list substance no longer present'.
BR721	Same 'Reference substance' cannot be reported more than once as 'Concern element' in same 'Article' record.
BR722	Same 'Reference substance' cannot be reported more than once as 'Candidate list substance no longer present' in same 'Article' record.
BR704	All the 'Concern element' blocks provided should be complete: **'Concentration range'** must be indicated.
BR714	All the 'Concern element' blocks provided should be complete: **'Material category'** or/and **'Mixture category (EUPCS)'** must be indicated. If 'Material category' is selected to be 'other:', then the adjacent field must be filled in.

2.2 List of SCIP validation rules in ECHA Submission Portal

The ECHA Submission Portal contains the above mentioned validation rules in IUCLID and in addition the following rules checking administrative information:

ECHA Submission Portal	
[QLT732]	Warning that Validation assistant can only report maximum 500 failures/warnings per one notification in ECHA Submission Portal.
[BR565]	Currently only the following submission types are accepted in 'ECHA Submission Portal': - 'Poison centre notifications' - 'SCIP notifications' - 'EU PPP Active substance application (product)' - 'EU PPP Basic substance application' - 'EU PPP Microorganisms - active substance application (product)' - 'EU PPP MRL application'
[BR801]	Dossiers containing more than 1000 documents (i6d files) are not accepted. It is recommended to check the number of documents before re-submitting the notification by opening the dossier or extracting the dossier archive (i6z) in a local folder using a zip tool (e.g. WinZip, 7-zip). To ensure that the notification can be accepted and successfully processed, the number of documents in the dossier needs to be reduced. For that purpose we advise to take into account the recommendations put forward in the user manual "Requirements for SCIP Notifications" as well as in the "Key tips for successful SCIP notifications" (available at https://echa.europa.eu/scip-support). These should help in simplifying the dossier and reducing the number of IUCLID documents (components and reference substances) of your dossier.
[BR731]	Non-EU companies are not allowed to submit SCIP notifications.
[BR733]	Same dossier cannot be submitted twice. (rule compares dossier UUID's)
[BR718]	If an SCIP notification is updated, then the update dossiers creation date must be newer than the previously accepted dossiers creation date.
[BR716]	The indicated 'Reference substance' must be mentioned in 'Candidate list'.
[BR732]	If 'Primary Article Identifier' is selected to be 'other:' then the adjacent field must be filled in.
[BR725]	The '**SCIP Number'** reported in the "*Referenced Article*" record must refer data already submitted to ECHA i.e. there must be successful submission related with that 'SCIP Number' in the ECHA Submission Portal.
Simplified SCIP notifications (SSN) *Notifications submitted as excel files*	
[BR727]	Simplified SCIP notifications (SSN): The indicated 'SCIP number' must exists in the ECHA Submission portal.

[BR730]	Simplified SCIP notifications (SSN): The indicated 'SCIP number' must be related to successful submission in the ECHA Submission portal.
[BR728]	Simplified SCIP notifications (SSN): The 'SCIP number' that is used for referencing belongs to the same legal entity that is submitting the notification. Simplified SCIP notification cannot refer to a 'SCIP number' from the same legal entity.
[BR729]	Simplified SCIP notifications (SSN): Same notification cannot be submitted twice - Same 'SCIP number' has been already indicated in the context of another successful Simplified SCIP notification made by same legal entity.

3. Version history

Version	Changes
1.0	February 2020 First version
2.0	May 2020 **DEACTIVATED:** BR712 **'Number of units'** must be provided. BR713 '**Candidate list version'** must be indicated. **ADDED:** BR720 Same 'Article' record cannot be reported more than once as 'Complex object component' from the same parent 'Article'. QLT704 Other names: If 'Type' is provided then the adjacent 'Name' should be provided. If 'Name was provided then the adjacent 'Type' should be provided. QLT703 Other Article Identifiers: If 'Type' is provided then the adjacent 'Value' should be provided. If 'Value was provided then the adjacent 'Type' should be provided. QLT707 Characteristics: Height: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT708 Characteristics: Length: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT709 Characteristics: Width: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT710 Characteristics: Diameter: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT711 Characteristics: Density: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT712 Characteristics: Weight: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT713 Characteristics: Volume: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT706 Disassembling instructions: If 'language' was provided then the adjacent 'attachment' must be provided BR721 Same 'Reference substance' cannot be reported more than once as 'Concern element' in same 'Article' record. BR722 Same 'Reference substance' cannot be reported more than once as 'Candidate list substance no longer present' in same 'Article' record.

		July 2020 **ADDED:** **BR723** "*Referenced Article*" record can have only the fields used to indicate referencing filled in. **BR724** "*Referenced Article*" record should be the last 'Article' record reported in a line. **BR726** Main 'Article' record cannot have 'ECHA Article ID' as 'Primary article identifier'. Will be added later in Summer 2020: **[BR725]** The '**ECHA Article ID'** number used to indicate "*Referenced Article*" must be valid. **[BR727]** SSN: The indicated 'SCIP number' must exists in the ECHA Submission portal. **[BR728]** SSN: The provided 'SCIP number' must belong to another company in the supply chain. **[BR729]** SSN: Same notification cannot be submitted twice.
3.0		October 2020 **ADDED:** **[QLT732]** Warning that Validation assistant can only report maximum 500 failures/warnings per one notification in ECHA Submission Portal. **[BR730]** SSN-SCIP number should be valid **[BR731]** Non-EU companies are not allowed to submit SCIP notifications **MODIFIED:** **[BR565]** MODIFIED: Only 'Poison centre notifications' are accepted to be submitted in the ECHA Submission Portal was changed to accept also 'SCIP notifications'. **BR705, BR726** and **[BR725]** wording modified: '**ECHA Article ID'** was changed **to 'SCIP Number'** **BR708** wording modified: 'No need to provide safe use information beyond the identification of the Candidate List substance' was changed to 'The identification of the Candidate List substance is sufficient to allow safe use of the article throughout the whole life cycle including service life, disassembly and waste/recycling stage'. Will be added in late November 2020 **[BR732]** If 'Primary Article Identifier' is selected to be 'other:' then the adjacent field must be filled in.
4.0		April 2021 **ADDED:** **[BR801]** Dossiers containing more than 1000 documents (i6d files) are not accepted. **MODIFIED:** **QLT702** Only message modified: Reference to check the substance identifiers from the Submission report in Portal removed. (Portal will not display the information) **[BR565]** Only message modified: Portal accepts also certain PPP dossiers.
5.0		October 2021 **DELETED:** **[BR719]** Same dossier cannot be submitted twice unless the submitting Legal entity is different. **ADDED:** **[BR733]** Same dossier cannot be submitted twice.